

UNITED STATES PATENT APPLICATION

of

David W. Cannell

and

Nghi Van Nguyen

FOR

HEAT ACTIVATED DURABLE STYLING COMPOSITIONS COMPRISING C₃-C₅

MONOSACCHARIDES AND METHODS FOR SAME

The present invention relates to compositions, kits comprising these compositions, and methods for using these compositions for durable non-permanent shaping or for durable retention of a non-permanent shape of at least one keratinous fiber, including human keratinous fibers, by applying to the at least one keratinous fiber compositions which comprise at least one compound chosen from C₃ to C₅ monosaccharides, and, in certain embodiments, at least one film forming agent different from the at least one compound, and heating the at least one keratinous fiber. These compositions may both impart a durable non-permanent shape to the at least one keratinous fiber and durably retain a non-permanent shape of the at least one keratinous fiber.

In today's market, many consumers prefer the flexibility of non-permanent hairstyles, that is, those styles obtained via non-permanent shaping of the hair. Typically, such non-permanent styles disappear when the hair is wetted, especially when the hair is washed with water and/or shampoo. Methods for non-permanent shaping of keratinous fibers include, for example, brushing, teasing, braiding, the use of hair rollers, and heat styling, optionally with a commercially available styling product. Non-limiting examples of heat styling include blow drying, crimping and curling methods using elevated temperatures (such as, for example, setting hair in curlers and heating, and curling with a curling iron and/or hot rollers).

While such compositions and methods may provide for non-permanent shaping of keratinous fibers, many consumers desire a higher degree of styling than most commercially available products and methods employing these products provide. For example, many consumers desire compositions and methods that improve non-permanent

curl formation. There is a need, therefore, for compositions and methods for non-permanent shaping of keratinous fibers that result in a higher degree of styling, such as non-permanent curl formation.

Further, many people desire compositions and methods for retaining a particular non-permanent shape or style of keratinous fibers such as hair. A common way to retain a particular hairstyle is with the use of a hairspray, typically applied after styling the hair. Other methods to retain a hairstyle or shape of keratinous fibers include the use of mousses, gels, and lotions. The materials in these compositions are generally film forming agents, resins, gums, and/or adhesive polymers.

While such compositions and methods may provide for non-permanent shaping of keratinous fibers, many consumers desire compositions and methods for durable retention of a particular non-permanent shape or style of keratinous fibers such as hair, such as, for example, those that hold or maintain a shape of a keratinous fiber until the keratinous fiber is washed with water and/or shampoo. Further, many consumers desire compositions and methods that allow hair to retain a particular shape longer than untreated hair, even after washing or shampooing the hair.

Thus, while commercially available compositions may provide temporary setting benefits, many consumers desire a higher level of retention or hold. Good holding power is one attribute a consumer looks for in styling products for keratinous fibers. Specifically, curl retention under conditions of changing humidity, for example changes to a higher humidity, is sought after by the consumer. Further, good curl retention in damaged hair is important to the consumer since the hair fiber has been weakened and will be less likely

to maintain the curl. Therefore, there is also a need for methods for durably retaining a shape of keratinous fibers even under conditions of high humidity, such as at atmospheric humidity above 40%.

5 Sugars and sugar derivatives are one class of the countless number of compounds that have been added to hair care compositions. Documented uses of sugars in hair care compositions include: the use of glucose to improve the tactile and elastic properties of natural hair (Hollenberg and Mueller, SOFW J. 121(2) (1995)); the use of glucose for hair damage prophylaxis and damaged hair repair (Hollenberg & Matzik, Seifen, Oele, Fette, Wachse 117(1) (1991)); the use of glucose in shampoos (J04266812, assigned to Lion Corp.); the use of trehalose for moisture retention (J06122614, assigned to Shiseido Co. Ltd.); a composition for the lanthionization of hair comprising a sugar (U.S. Patent Nos. 5,348,737 and 5,641,477, assigned to Avlon Ind. Inc.); the incorporation of xylobiose into cosmetic compositions to provide enhanced moisture retention and reduce excessive roughness and dryness of the skin and hair (U.S. Patent No. 5,660,838, assigned to Suntory Ltd.); a composition for the regeneration of hair split-ends that contains at least one mono- or di-saccharide (U.S. Patent No. 4,900,545, assigned to Henkel); hair care compositions to improve hair strength, hold and volume that contain C₅ to C₆ carbohydrates such as glucose; the use of fucose in a hair treatment to prevent split ends (DE29709853, assigned to Goldwell GMBH); and the use of saccharides in a shampoo to improve combing properties and control hair damage (J09059134, assigned to Mikuchi Sangyo KK).

In essence, sugars have been applied to hair for countless reasons from moisturizing to enhancing hair growth (J10279439, assigned to Kureha Chem. Ind. Co. Ltd.). Clearly, however, not all sugars are the same and not all sugars impart the same properties when applied to a keratinous fiber.

5 The inventors have envisaged the application to at least one keratinous fiber of at least one composition comprising at least one compound chosen from C₃ to C₅ monosaccharides. In particular, the inventors have discovered that compositions and methods using these compositions comprising applying to the at least one keratinous fiber at least one compound chosen from C₃ to C₅ monosaccharides, and heating the at least one keratinous fiber are useful for durable non-permanent shaping of at least one
10 keratinous fiber or for durable retention of a non-permanent shape of at least one keratinous fiber.

Thus, to achieve at least one of these and other advantages, the present invention, in one aspect, provides a composition for durable non-permanent shaping of at least one
15 keratinous fiber or durable retention of a non-permanent shape of at least one keratinous fiber comprising at least one compound chosen from C₃ to C₅ monosaccharides, and at least one film forming agent different from the at least one compound, wherein the at least one compound and the at least one film forming agent are present in an amount effective to impart a durable non-permanent shape to the at least one keratinous fiber or to durably
20 retain a non-permanent shape of the at least one keratinous fiber. In one embodiment, the composition is heat-activated.

In another embodiment, the present invention is drawn to a method for durable non-permanent shaping of at least one keratinous fiber or durable retention of a non-permanent shape of at least one keratinous fiber comprising applying to the at least one keratinous fiber (i) at least one compound chosen from C₃ to C₅ monosaccharides and (ii) at least one film forming agent different from the at least one compound; and heating the at least one keratinous fiber, wherein the at least one compound and at least one film forming agent are present in an amount effective to impart a durable non-permanent shape to the at least one at least one keratinous fiber or to durably retain a non-permanent shape of the at least one keratinous fiber, and further wherein the composition is applied prior to or during heating.

The present invention, in another aspect, provides a composition for durable non-permanent shaping of at least one keratinous fiber or durable retention of a non-permanent shape of at least one keratinous fiber comprising at least one compound chosen from C₃ to C₅ monosaccharides, wherein the at least one compound is present in an amount effective to impart a durable non-permanent shape to the at least one keratinous fiber or to durably retain a non-permanent shape of the at least one keratinous fiber. In one embodiment, the composition is heat-activated.

In another embodiment, the present invention is drawn to a method for durable non-permanent shaping of at least one keratinous fiber or durable retention of a non-permanent shape of at least one keratinous fiber comprising applying to the at least one keratinous fiber at least one compound chosen from C₃ to C₅ monosaccharides; and heating the at least one keratinous fiber, wherein the at least one compound is present in an amount

effective to impart a durable non-permanent shape to the at least one at least one keratinous fiber or to durably retain a non-permanent shape of the at least one keratinous fiber, and further wherein the composition is applied prior to or during heating.

In yet another embodiment, the present invention provides a kit for durable non-permanent shaping of at least one keratinous fiber or durable retention of a non-permanent shape of at least one keratinous fiber comprising at least one compartment, wherein a first compartment comprises a first composition comprising at least one compound chosen from C₃ to C₅ monosaccharides. In one embodiment, at least one compartment comprises at least one additional sugar, different from the at least one compound, and in another embodiment, at least one compartment comprises at least one film forming agent.

Certain terms used herein are defined below:

"At least one" as used herein means one or more and thus includes individual components as well as mixtures/combinations.

"Durable retention of a shape" as used herein means that, following at least six shampoos after treatment, treated hair still retains the ability to retain a particular shape after styling as compared to the ability of untreated hair to retain a particular shape after styling. The ability to retain a shape can be evaluated by measuring, and comparing, the ability to retain a curl under conditions of high relative humidity of the treated hair after six shampoos subsequent to treatment and of the untreated hair after six shampoos in terms of Curl Droop (for example, see Example 9).

"Durable shaping," as used herein, refers to holding or keeping a shape of a keratinous fiber until the keratinous fiber is washed with water and/or shampoo. Retention of a shape can be evaluated by measuring, and comparing, the ability to retain a curl under conditions of high relative humidity of the treated hair and of the untreated hair in terms of Curl Droop (for example, see Examples 1-8).

"Heating" refers to the use of elevated temperature (*i.e.*, above 100°C). In one embodiment, the heating in the inventive method may be provided by directly contacting the at least one keratinous fiber with a heat source, *e.g.*, by heat styling of the at least one keratinous fiber. Non-limiting examples of heat styling by direct contact with the at least one keratinous fiber include flat ironing, and curling methods using elevated temperatures (such as, for example, setting hair in curlers and heating, and curling with a curling iron and/or hot rollers). In another embodiment, the heating in the inventive method may be provided by heating the at least one keratinous fiber with a heat source which may not directly contact the at least one keratinous fiber. Non-limiting examples of heat sources which may not directly contact the at least one keratinous fiber include blow dryers, hood dryers, heating caps and steamers.

"A heat-activated" composition, as used herein, refers to a composition which, for example, shapes the at least one keratinous fiber better than the same composition which is not heated during or after application of the composition. Another example includes a composition which retains a shape of at least one keratinous fiber better than the same composition which is not heated during or after application.

"High humidity" as defined herein refers to atmospheric humidity above 40%.

"Keratinous fibers" as defined herein may be human keratinous fibers, and may be chosen from, for example, hair.

5 "Non-permanent shaping" of keratinous fibers, as used herein, refers to a method of setting keratinous fibers in a particular shape or style which does not comprise breaking and reforming disulfide bonds within a keratinous fiber.

"Non-permanent shape" of keratinous fibers, as used herein, refers a shape or style of keratinous fibers obtained without breaking and reforming disulfide bonds within a keratinous fiber.

10 "Oligosaccharides" as defined herein refers to compounds generally comprising from two to ten monosaccharide units, which may be identical or different, bonded together.

15 "Polysaccharides" as defined herein refers to compounds generally comprising greater than ten monosaccharide units, which may be identical or different, bonded together.

"Polymers" as defined herein comprise copolymers (including terpolymers) and homopolymers.

It is to be understood that both the foregoing general description and the following detailed description are exemplary and explanatory only and are not restrictive of the

invention, as claimed. Reference will now be made in detail to exemplary embodiments of the present invention.

As described above, sugars have been used in hair care compositions and other treatments for their moisture retaining properties. However, it was unexpectedly discovered by the present inventors that, in addition to retaining moisture, a certain class of sugars imparted a durable non-permanent shape or durable retention of a non-permanent shape or style to at least one keratinous fiber. In particular with respect to hair, compounds chosen from C_3 to C_5 monosaccharides were found to impart good curl formation to the at least one keratinous fiber, and to prevent such curls from drooping, for example, due to humidity. Further, these compounds may impart to the at least one keratinous fiber an ability to retain a particular style even after shampooing the at least one keratinous fiber subsequent to treatment with a composition comprising at least one such compound. This is particularly true when the compounds are applied to the hair, and then the hair is heated.

Thus, the invention provides compositions for durable non-permanent shaping of at least one keratinous fiber or durable retention of a non-permanent shape of at least one keratinous fiber comprising (i) at least one compound chosen from C_3 to C_5 monosaccharides and, optionally, (ii) at least one film forming agent, wherein the at least one compound and, optionally, the at least one film forming agent are present in an amount effective either to impart a durable non-permanent shape to the at least one keratinous fiber or to durably retain a non-permanent shape of the at least one keratinous fiber, depending on the embodiment. In one embodiment, the composition is heat-

activated. In another embodiment, the composition both imparts a durable non-permanent shape to the at least one keratinous fiber and durably retains a non-permanent shape of the at least one keratinous fiber. The composition may further comprise at least one additional sugar.

5 The present invention also provides methods for durable non-permanent shaping of at least one keratinous fiber or for durable retention of a non-permanent shape of at least one keratinous fiber comprising applying to the at least one keratinous fiber a composition comprising (i) at least one compound chosen from C₃ to C₅ monosaccharides, and, optionally, (ii) at least one film forming agent; and heating the at least one keratinous fiber. The composition may be applied prior to or during heating. Further, the at least one compound and, optionally, the at least one film forming agent are present in an amount effective either to impart a durable non-permanent shape to the at least one keratinous fiber or to durably retain a non-permanent shape of the at least one keratinous fiber, depending on the embodiment. In one embodiment, the composition both imparts a durable non-permanent shape to the at least one keratinous fiber and durably retains a non-permanent shape of the at least one keratinous fiber. The composition may further comprise at least one additional sugar.

 According to certain embodiments of the present invention, the at least one compound may be used in conjunction with at least one film-forming agent, such as, for example, film forming polymers and resins. For example, the film forming polymers may be chosen from cationic polymers, anionic polymers and nonionic polymers. Non-limiting examples of the at least one film forming agent are those listed at pages 1744 to 1747 of

the CTFA International Cosmetic Ingredient Dictionary, 8th edition (2000). In one embodiment, the at least one film forming agent may be chosen from water soluble compounds, oil soluble compounds and compounds soluble in organic solvents. According to the present invention, the at least one film forming agent may be present in an amount generally ranging from 0.01% to 30% of active material by weight relative to the total weight of the composition, such as from 0.1% to 10% of active material by weight. One of ordinary skill in the art will recognize that the at least one film forming agent according to the present invention may be commercially available, and may come from suppliers in the form of a dilute solution. The amounts of the at least one film forming agent disclosed herein therefore reflect the weight percent of active material.

Non-limiting examples of the at least one film forming agent are those disclosed in WO 01/18096, the disclosure of which is incorporated herein by reference. Other non-limiting examples of the at least one film forming agent include copolymers derived from (i) at least one vinyl monomer comprising at least one quaternary ammonium group and (ii) at least one additional monomer chosen from acrylamide, methacrylamide, alkyl acrylamides, dialkyl acrylamides, alkyl methacrylamides, dialkyl methacrylamides, alkyl acrylate, alkyl methacrylate, vinyl caprolactone, vinyl pyrrolidone, vinyl esters, vinyl alcohol, maleic anhydride, propylene glycol, and ethylene glycol.

Further non-limiting examples of the at least one film forming agent include:

- vinyl acetate/vinyl tert butylbenzoate/crotonic acid terpolymers such as those described in U.S. Patent No. 4,282,203, the disclosure of which is incorporated herein by reference;

- N-octylacrylamide/methyl methacrylate/hydroxypropyl methacrylate/acrylic acid/tert-butylamino-ethyl methacrylate copolymers such as those sold by NATIONAL STARCH under the name "AMPHOMER LV-71";

- corn starch/polyvinylpyrrolidone copolymers such as Corn Starch Modified sold by National Starch and Chemicals under the name Amaize®;

- vinylpyrrolidone/vinyl acetate copolymers such as those sold by BASF under the name "LUVISKOL VA 64 Powder";

- vinyl acetate/crotonic acid/vinyl neodecanoate terpolymers such as those sold by NATIONAL STARCH under the name "RESYN® 28-2930";

- acrylic acid/ethyl acrylate/N-tert-butylacrylamide terpolymers such as those sold by BASF under the name "ULTRA-HOLD 8";

- acrylic acid/acrylates/hydroxyacrylates/succinic acid copolymers such as Acrylates/C1-2 succinates hydroxyacrylates copolymer sold by ISP as ALLIANZ LT-120;

- vinyl acetate/crotonic acid (90/10) copolymers such as those sold by BASF under the name "LUVISET CA 66";

- acrylic acid/methacrylic acid/acrylates/methacrylates copolymers such as Acrylates Copolymer sold by Amerchol Corp. (Edison, N.J., USA); and

- vinylcaprolactam/vinylpyrrolidone/dimethylamino ethyl methacrylate copolymers such as those sold by GAF under the name "POLYMER ACP-1018".

Further non-limiting examples of the at least one film forming agent include:

- copolymers derived from (i) 1-vinyl-2-pyrrolidone and (ii) 1-vinyl-3-methylimidazolium salt (CTFA designation: polyquaternium-16), which is commercially available from BASF Corporation under the LUVIQUAT tradename (e.g., LUVIQUAT FC 370);

5 - copolymers derived from reaction of (i) vinylcaprolactam and (ii) vinylpyrrolidone with methylvinylimidazolium methosulfate, (CTFA designation: polyquaternium-46), which is commercially available from BASF;

10 - copolymers derived from (i) vinylpyrrolidone and (ii) quaternized imidazoline monomers (CTFA designation: polyquaternium-44), which is commercially available from BASF;

15 - copolymers derived from (i) 1-vinyl-2-pyrrolidone and (ii) 1-vinyl-3-methylimidazolium salt (CTFA designation: polyquaternium-16), which is commercially available from BASF Corporation under the LUVIQUAT tradename (e.g., LUVIQUAT FC 370);

20 - poly(vinylamine), optionally quaternized;
 - poly-4-vinyl pyridine, optionally quaternized;
 - poly(ethyleneimine), optionally quaternized;
 - dimethyldiallylammonium chloride homopolymer (CTFA designation: polyquaternium-6);

25 - copolymers derived from (i) acrylamide and (ii) dimethyldiallylammonium chloride (CTFA designation: polyquaternium-7);

- copolymers derived from (i) dimethyldiallylammonium chloride and (ii) sodium acrylate (CTFA designation: Polyquaternium-22); and

- terpolymers derived from (i) dimethyldiallylammonium chloride, (ii) acrylic amide and (iii) sodium acrylate (CTFA designation: Polyquaternium-39).

5 Other non-limiting examples of the at least one film forming agent include derivatives of polysaccharide polymers such as cationic cellulose derivatives, for example, cationic cellulose, which is available from Amerchol Corp. (Edison, N.J., USA) in their Polymer JR™, LR™ and SR™ series of polymers, as salts of hydroxyethyl cellulose reacted with trimethyl ammonium substituted epoxide (CTFA designation: polyquaternium-10); polymeric quaternary ammonium salts of hydroxyethyl cellulose reacted with lauryl dimethyl ammonium-substituted epoxide (CTFA designation: polyquaternium-24), which is available from Amerchol Corp. (Edison, N.J., USA) under the tradename Polymer LM-200™; and cationic starch and derivatives thereof, such as quaternary starch, which is available from Croda.

15 In one embodiment, the at least one film forming agent is chosen from cationic polymers such as polyquaternium-16, polyquaternium-46, and polyquaternium-44. In another embodiment, the at least one film forming agent is chosen from nonionic polymers such as polymers derived from (1) corn starch and (2) polyvinylpyrrolidone; and copolymers derived from (1) vinyl acetate and (2) vinylpyrrolidone. In yet another embodiment, the at least one film forming agent is chosen from anionic polymers such as polymers derived from (1) vinyl acetate, (2) crotonic acid and (3) vinyl neodecanoate, polymers derived from (1) acrylic acid, (2) acrylates, (3) hydroxyacrylates and (4) succinic

acid, and polymers derived from at least two monomers chosen from acrylic acid, methacrylic acid, esters of acrylic acid and esters of methacrylic acid. The at least one film forming agent chosen from anionic polymers can be neutralized in order to render the anionic polymers soluble.

5 The C_3 to C_5 monosaccharides according to the present invention may be chosen from any triose, tetrose and pentose. Further, the C_3 to C_5 monosaccharides can be chosen from the D-form, L-form and mixtures of any of the foregoing. Non-limiting examples of C_3 to C_5 monosaccharides include aldopentoses (such as xylose, arabinose, lyxose, and ribose), ketopentoses (such as ribulose and xylulose), aldotetroses (such as erythrose and treose), ketotetroses (such as erythrulose), aldotrioses (such as glyceraldehyde) and ketotrioses (such as dihydroxyacetone). The C_3 to C_5 monosaccharides may be chosen from C_3 to C_5 monosaccharides comprising aldehyde groups (aldoses), furanoses and other ring structures. The C_3 to C_5 monosaccharides may be substituted or unsubstituted.

15 Derivatives of C_3 to C_5 monosaccharides may be used as the at least one compound of the present invention. For example, ammonias or primary amines may react with the aldehyde or ketone group of a sugar to form an imine derivative (*i.e.*, a compound containing the functional group $C=N$). These imine compounds are sometimes also referred to as Schiff bases. Other non-limiting examples of derivatives of C_3 to C_5 monosaccharides are hemiacetal derivatives of C_3 to C_5 monosaccharides, hemiketal derivatives of C_3 to C_5 monosaccharides and any oxidized derivatives of C_3 to C_5 monosaccharides. These derivatives may be formed, for example, from the reaction of the

aldehyde or ketone group of a sugar with an alcohol. Other exemplary derivatives of C₃ to C₅ monosaccharides may also include, but are not limited to, oligosaccharides derived from C₃ to C₅ monosaccharides, such as xylobiose. As previously mentioned, the at least one compound chosen from C₃ to C₅ monosaccharides may be substituted or unsubstituted. Thus, in one embodiment, the derivatives of C₃ to C₅ monosaccharides may be substituted or unsubstituted.

According to the present invention, the at least one compound chosen from C₃ to C₅ monosaccharides is present in the composition in an amount generally ranging from 0.01% to 10% by weight relative to the total weight of the composition, such as from 0.1% to 5% by weight.

The compositions of the present invention as well as those of the inventive methods may further comprise at least one additional sugar which is different from the at least one compound chosen from C₃ to C₅ monosaccharides. The at least one additional sugar may, for example, aid in moisture retention. The effectiveness of a sugar in aiding in moisture retention may be measured by monitoring a DSC peak at a temperature ranging from 75°C to 200°C.

The at least one additional sugar may be chosen from any sugar, carbohydrate and carbohydrate moiety. Non-limiting examples of the at least one additional sugar are monosaccharides, which include, but are not limited to, three to seven carbon sugars such as pentoses (for example, ribose, arabinose, xylose, lyxose, ribulose, and xylulose) and hexoses (for example, allose, altrose, glucose, mannose, gulose, idose, galactose, talose, sorbose, psicose, fructose, and tagatose); oligosaccharides such as disaccharides (such

as maltose, sucrose, cellobiose, trehalose and lactose); and polysaccharides such as starch, dextrans, cellulose and glycogen. In one embodiment, the at least one additional sugar of the invention are chosen from any aldoses and ketoses. Further, the at least one additional sugar may be substituted or unsubstituted.

5 According to the present invention, the at least one additional sugar is present in the composition in an amount generally ranging from 0.01% to 10% by weight relative to the total weight of the composition, such as from 0.1% to 5% by weight.

10 The compositions of the present invention as well as those of the inventive methods may be in the form of a liquid, an oil, a paste, a stick, a dispersion, an emulsion, a lotion, a gel, or a cream. Further, these compositions may further comprise at least one suitable additive chosen from additives commonly used in compositions for keratinous fibers. Non-limiting examples of the at least one suitable additive include anionic surfactants, cationic surfactants, nonionic surfactants, amphoteric surfactants, fragrances, penetrating agents, antioxidants, sequestering agents, opacifying agents, solubilizing agents, emollients, colorants, screening agents (such as sunscreens and UV filters), preserving agents, proteins, vitamins, silicones, polymers such as thickening polymers, plant oils, mineral oils, synthetic oils and any other additive conventionally used in compositions for the care and/or treatment of keratinous fibers.

15 Needless to say, a person skilled in the art will take care to select the at least one suitable additive such that the advantageous properties of the composition in accordance with the invention are not, or are not substantially, adversely affected by the addition(s) envisaged.

The compositions of the present invention and those used in the methods of the present invention may also be provided as one-part compositions comprising at least one compound chosen from C₃ to C₅ monosaccharides and, optionally, at least one additional sugar, and further, optionally at least one film forming agent, or in the form of a multi-
5 component treatment or kit. The skilled artisan, based on the stability of the composition and the application envisaged, will be able to determine how the composition and/or multicomponent compositions should be stored and mixed. For example, simple sugars such as C₃ to C₅ monosaccharides are known to be stable at pH levels ranging from 4 to 9. In compositions where the pH range is below or above these levels, the sugars would
10 be stored separately and added to the composition only at the time of application.

Thus, the present invention also relates to a kit for durable non-permanent shaping of at least one keratinous fiber or for durable retention of a non-permanent shape of at least one keratinous fiber comprising at least one compartment, wherein a first compartment comprises a first composition comprising at least one compound chosen from
15 C₃ to C₅ monosaccharides. In one embodiment, the first composition further comprises at least one additional sugar, different from the at least one compound, while in another embodiment, the first composition further comprises at least one film forming agent.

Unless otherwise indicated, all numbers expressing quantities of ingredients, reaction conditions, and so forth used in the specification and claims are to be understood
20 as being modified in all instances by the term "about." Accordingly, unless indicated to the contrary, the numerical parameters set forth in the following specification and attached claims are approximations that may vary depending upon the desired properties sought to

be obtained by the present invention. At the very least, and not as an attempt to limit the application of the doctrine of equivalents to the scope of the claims, each numerical parameter should be construed in light of the number of significant digits and ordinary rounding approaches.

5 Notwithstanding that the numerical ranges and parameters setting forth the broad scope of the invention are approximations, the numerical values set forth in the specific examples are reported as precisely as possible. Any numerical value, however, inherently contains certain errors necessarily resulting from the standard deviation found in their respective testing measurements. The following examples are intended to illustrate the invention without limiting the scope as a result.

10 Unless otherwise noted, the procedure used to treat the hair and measure the Curl Droop is as follows: Hair swatches (2 g., 6.5-7.5 in.) were treated with a solution of film former/Xylose (0.5 g solution/g of hair) then blow dried. The hair swatches were then heated with a flat iron for 1 minute and then shampooed with 10% sodium laureth sulfate (SLES). The treatment was repeated up to 8 times, as indicated. The hair
15 swatches were then styled with a curling iron for 30 seconds and placed in a humidity chamber at 90% relative humidity to measure the Curl Droop for the heat activated test (Examples 1 through 8). For the durability test (Example 9), the hair swatches were shampooed up to 6 times then styled and placed in a humidity chamber. As the curl
20 slowly relaxed in the humidity chamber, the length of the hair swatches was measured every minute (up to 15 minutes).

The Curl Droop was calculated as:

$$[(L_o - L_t)/(L_o - L_i)] \times 100$$

Where:

- L_o represents the original length of fully extended hair
- L_t represents the length of the hair at time t in the humidity chamber
- L_i represents the initial length of the hair at time 0 in the humidity chamber (*i.e.*, after styling with a curling iron for 30 seconds)

A higher Curl Droop represents a better curl retention.

Examples 1-3

Examples 1 through 3 show the usefulness of cationic polymers as the at least one film forming agent in the compositions of the present invention as well as the compositions used in the methods of the present invention.

Example 1. Heat Activated Styling with Polyquaternium-16

Following the above procedure, bleached hair was treated 8 times with either a solution comprising 6% (active) polyquaternium-16 without xylose (Composition (a)) or a solution comprising 6% (active) polyquaternium-16 and 1% xylose (Composition (b)). Polyquaternium-16 is a polymeric quaternary ammonium salt formed from methylvinylimidazolium chloride and vinylpyrrolidone. The results are shown in Table 1.

Table 1. Curl Droop of Hair Treated with Polyquaternium-16

	Time (minutes)															
	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
(a)	100	66	44	35	26	19	16	16	16	15	14	9	9	9	9	9
(b)	100	97	83	74	51	47	35	31	31	24	23	23	22	22	22	22

Example 2. Heat Activated Styling with Polyquaternium-46

Following the above procedure, normal brown hair was treated 8 times with either a solution comprising 6% (active) polyquaternium-46 without xylose (Composition (a)) or a solution comprising 6% (active) polyquaternium-46 and 1% xylose (Composition (b)). Polyquaternium-46 is a polymeric quaternary ammonium salt prepared by reaction of vinylcaprolactam and vinylpyrrolidone with methylvinylimidazolium methosulfate. The results are shown in Table 2.

Table 2. Curl Droop of Hair Treated with Polyquaternium-46

	Time (minutes)															
	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
(a)	100	68	47	41	26	15	8	8	6	6	6	6	4	4	4	4
(b)	100	94	90	74	58	47	41	39	39	38	37	37	35	35	35	35

Example 3. Heat Activated Styling with Polyquaternium-44

Following the above procedure, bleached hair was treated 8 times with either a solution comprising 6% (active) polyquaternium-44 without xylose (Composition (a)) or

a solution comprising 6% (active) polyquaternium-44 and 1% xylose (Composition (b)). Polyquaternium-44 is a polymeric quaternary ammonium salt prepared by reaction of vinylpyrrolidone and quaternized imidazoline monomer. The results are shown in Table 3.

Table 3. Curl Droop of Hair Treated with Polyquaternium-44

	Time (minutes)															
	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
(a)	100	88	82	74	60	44	43	39	35	29	24	21	19	19	19	19
(b)	100	100	99	94	82	69	63	57	46	39	39	39	34	34	34	34

Examples 4 and 5

Examples 4 and 5 show the usefulness of nonionic water-soluble polymers as the at least one film forming agent in the compositions of the present invention as well as the compositions used in the methods of the present invention.

Example 4. Heat Activated Styling with Corn Starch

Following the above procedure, bleached hair was treated 8 times with either a solution comprising 6% (active) Corn Starch Modified without xylose (Composition (a)) or a solution comprising 6% (active) Corn Starch Modified and 1% xylose (Composition (b)). Corn Starch Modified is prepared by the reaction of corn starch and polyvinylpyrrolidone. The results are shown in Table 4.

Table 4. Curl Droop of Hair Treated with Corn Starch

	Time (minutes)															
	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
(a)	100	97	96	91	89	86	80	78	70	66	56	43	43	32	27	25
(b)	100	93	93	92	92	90	90	87	83	73	64	62	62	56	55	55

Example 5. Heat Activated Styling with Corn Starch

Following the above procedure, normal brown hair was treated 8 times with either a solution comprising 6% (active) PVP/VA Copolymer without xylose (Composition (a)) or a solution comprising 6% (active) PVP/VA Copolymer and 1% xylose (Composition (b)). PVP/VA Copolymer is a copolymer of vinyl acetate and vinylpyrrolidone. The results are shown in Table 5.

Table 5. Curl Droop of Hair Treated with PVP/VA Copolymer

	Time (minutes)															
	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
(a)	100	94	86	73	71	60	56	54	44	43	35	27	21	21	21	21
(b)	100	92	86	77	75	71	70	65	63	62	58	56	52	52	52	52

Examples 6 - 8

Examples 6 through 8 show the usefulness of anionic polymers as the at least one film forming agent in the compositions of the present invention as well as the compositions used in the methods of the present invention.

Example 6. Heat Activated Styling with VA/Crotonates/Vinyl Neodecanoate Copolymer

Following the above procedure, bleached hair was treated 4 times with either a solution comprising 6% (active) VA/Crotonates/Vinyl Neodecanoate Copolymer without xylose (Composition (a)) or a solution comprising 6% (active) VA/Crotonates/Vinyl Neodecanoate Copolymer and 1% xylose (Composition (b)). VA/Crotonates/Vinyl Neodecanoate Copolymer is formed from vinyl acetate, crotonic acid and vinyl neodecanoate monomers. The polymer was neutralized to 100% neutralization with AMP (amino methylpyridine). The results are shown in Table 6.

Table 6. Curl Droop of Hair Treated with VA/Crotonates/Vinyl Neodecanoate Copolymer

	Time (minutes)															
	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
(a)	100	81	71	61	41	41	39	33	29	27	25	25	25	25	25	25
(b)	100	98	98	96	95	95	94	92	88	88	83	81	80	79	79	79

Exempl 7. H at Activated Styling with Acrylates/C1-2 Succinat s Hydroxyacrylates Copolymer

Following the above procedure, bleached hair was treated 8 times with either a solution comprising 6% (active) Acrylates/C1-2 Succinates Hydroxyacrylates Copolymer without xylose (Composition (a)) or a solution comprising 6% (active) Acrylates/C1-2 Succinates Hydroxyacrylates Copolymer and 1% xylose (Composition (b)). Acrylates/C1-2 Succinates Hydroxyacrylates Copolymer is formed from acrylic acid, acrylates, hydroxyacrylates and succinic acid. The polymer was neutralized to 100% neutralization with AMP. The results are shown in Table 7.

Table 7. Curl Droop of Hair Treated with Acrylates/C1-2 Succinates Hydroxyacrylates Copolymer

	Time (minutes)															
	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
(a)	100	52	44	27	21	12	11	11	9	3	3	2	0	0	0	0
(b)	100	94	90	78	62	60	57	41	35	33	33	33	33	33	31	31

Example 8. Heat Activated Styling with Acrylates Copolymer

Following the above procedure, normal brown hair was treated 8 times with either a solution comprising 6% (active) Acrylates Copolymer without xylose

(Composition (a)) or a solution comprising 6% (active) Acrylates Copolymer and 1% xylose (Composition (b)). Acrylates Copolymer is a copolymer of two or more monomers chosen from acrylic acid, methacrylic acid and simple esters thereof. The polymer was neutralized to 100% neutralization with AMP. The results are shown in

Table 8.

Table 8. Curl Droop of Hair Treated with Acrylates Copolymer

	Time (minutes)															
	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
(a)	100	92	88	74	73	59	52	50	46	34	11	11	11	11	11	11
(b)	100	96	90	86	81	77	76	58	58	52	52	52	52	52	52	52

Example 9. Heat Activated Durability with Corn Starch

Following the procedure for durability testing, bleached hair was treated 8 times with a solution comprising 6% (active) Corn Starch Modified either without xylose or with 1% xylose. The Curl Droop at 15 minutes was measured at the indicates times. The results are shown in Table 9.

Table 9. Curl Droop of Hair Treated with Corn Starch Modified After Various Numbers of Shampoos

Solution Comprising 6% (active) Corn Starch Modified; Time of Measurement of Curl Droop	Curl Droop After 15 Minutes in 90% Relative Humidity
Without xylose; after treatment (no shampoos)	15
With 1% xylose; after treatment (no shampoos)	31
Without xylose; after 2 shampoos	10
With 1% xylose; after 2 shampoos	18
Without xylose; after 6 shampoos	0.1
With 1% xylose; after 6 shampoos	4

The data showed that hair treated with at least one film forming agent (Corn Starch Modified), at least one sugar chosen from C₃ to C₅ monosaccharides (xylose) and heat had a higher curl retention than hair treated with at least one film forming agent (Corn Starch Modified) and heat but without at least one sugar chosen from C₃ to C₅ monosaccharides (xylose) even after 6 shampoos.